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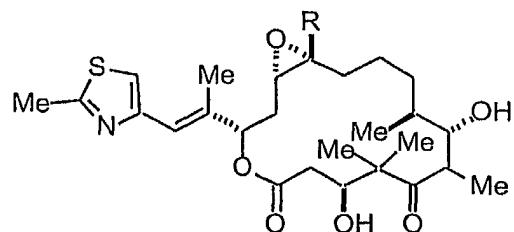
(54) Title: PREPARATION OF EPOTHILONE INTERMEDIATES

(57) Abstract: The present invention relates to a process for the preparation of intermediates useful in the synthesis of epothilone analogs by initially enzymatically degrading certain epothilone compounds to form ring-open structures containing a carboxyl group which is esterified, the hydroxyl groups on the moiety protected and the resulting compound oxidized by, e.g. ozone, to form a first intermediate. The first intermediate can be reacted with a triphenylphosphine adduct to yield a compound containing an ester group at position 1 which is subsequently hydrolyzed to form a second intermediate.

## PREPARATION OF EPOTHILONE INTERMEDIATES

The present invention relates to an improved process for the preparation of certain epothilone analogs.

Epothilones are macrolide compounds that find utility in the pharmaceutical field. For example, epothilones A and B having the structures:



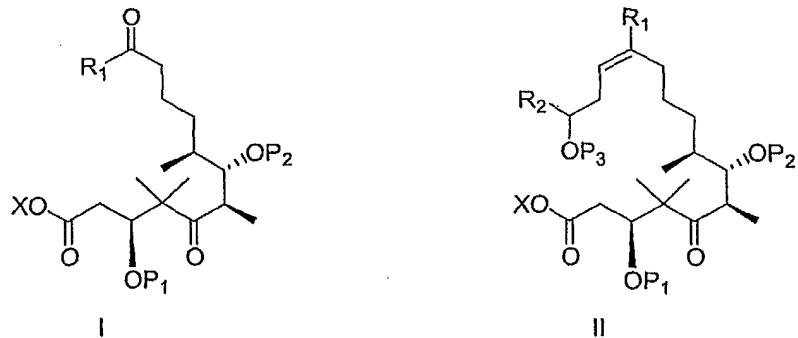
### Epothilone A R=H

### Epothilone B R=Me

may be found to exert microtubule-stabilizing effects similar to paclitaxel (TAXOL®) and hence cytotoxic activity against rapidly proliferating cells, such as, tumor cells or other hyperproliferative cellular disease, see Hofle, G., *et al.*, Angew. Chem. Int. Ed. Engl., Vol. 35, No.13/14, 1567-1569 (1996); WO93/10121 published May 27, 1993; and WO97/19086 published May 29, 1997.

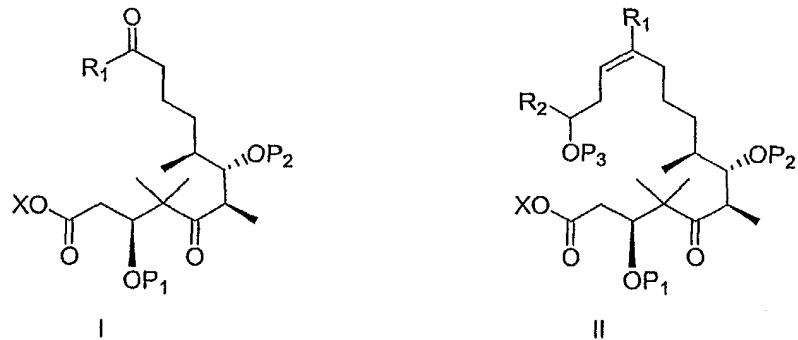
Derivatives and analogs of epothilones A and B have been synthesized and may be used to treat a variety of cancers and other abnormal proliferative diseases. Such analogs are disclosed in Hofle *et al.*, *Id.*; Nicolaou, K.C., *et al.*, *Angew. Chem. Int. Ed. Engl.*, Vol. 36, No. 19, 2097-2103 (1997); and Su, D.-S., *et al.*, *Angew. Chem. Int. Ed. Engl.*, Vol. 36, No. 19, 2093-2097 (1997).

The present invention is directed to a process for the preparation of compounds represented by formulas I and II wherein X, P<sub>1</sub>, P<sub>2</sub>, R<sub>1</sub> and R<sub>2</sub> are as defined below:

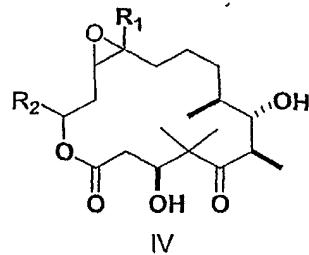
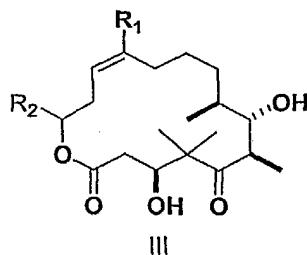


The compounds represented by formulas I and II are intermediates for the preparation of epothilone analogs that are useful in the treatment of a variety of cancers and other abnormal proliferative diseases.

The process of the present invention provides an advantageous synthesis for the compounds represented by formulas I and II



Compounds of formula I can be utilized to prepare, for example, analogs represented by formula II which can, in turn, be utilized to prepare epothilone analogs represented by the formulas III and IV.

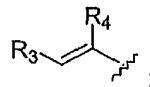


As used in the formulas I, II, III, IV and throughout the specification, the symbols as given below have the following meanings:

X is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl and substituted aryl;

R<sub>1</sub> is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, and heterocyclo;

R<sub>2</sub> is hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, heterocyclo or



R<sub>3</sub> and R<sub>4</sub> are selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and heterocyclo;

P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub> are independently selected from the group consisting of hydrogen, aralkyl, substituted aralkyl, trialkylsilyl, triarylsilyl, dialkylarylsilyl, diarylalkylsilylalkoxyalkyl, and aralkyloxyalkyl.

### Definitions

The following are definitions of various terms used herein to describe this invention. These definitions apply to the terms as they are used throughout this specification, unless otherwise limited in specific instances, either individually or as part of a larger group.

The term "alkyl" refers to optionally substituted straight- or branched-chain saturated hydrocarbon groups having from 1 to 20 carbon atoms, preferably from 1 to 7 carbon atoms. The expression "lower alkyl" refers to optionally substituted alkyl groups having from 1 to 4 carbon atoms.

The term "substituted alkyl" refers to an alkyl group substituted by, for example, one to four substituents, such as, halo, trifluoromethyl, trifluoromethoxy, hydroxy, alkoxy, cycloalkyloxy, heterocycloxy, oxo, alkanoyl, aryl, aryloxy, aralkyl, alkanoyloxy, amino, alkylamino, arylamino, aralkylamino, cycloalkylamino, heterocycloamino, disubstituted amino in which the two substituents on the amino group are selected from alkyl, aryl, aralkyl, alkanoylamino, aroylamino, aralkanoylamino, substituted alkanoylamino, substituted arylamino, substituted aralkanoylamino, thiol, alkylthio, arylthio, aralkylthio, cycloalkylthio, heterocyclothio, alkylthiono, arylthiono, aralkylthiono, alkylsulfonyl, arylsulfonyl, aralkylsulfonyl, sulfonamido (e.g.  $\text{SO}_2\text{NH}_2$ ), substituted sulfonamido, nitro, cyano, carboxy, carbamyl (e.g.  $\text{CONH}_2$ ), substituted carbamyl (e.g.  $\text{CONH}$  alkyl,  $\text{CONH}$  aryl,  $\text{CONH}$  aralkyl or instances where there are two substituents on the nitrogen selected from alkyl, aryl or aralkyl), alkoxy carbonyl, aryl, substituted aryl, guanidino and heterocyclos, such as, indolyl, imidazolyl, furyl, thienyl, thiazolyl, pyrrolidyl, pyridyl, pyrimidyl and the like. Wherein, as noted above, the substituents themselves are further substituted, such further substituents are selected from the group consisting of halogen, alkyl, alkoxy, aryl and aralkyl. The definitions given herein for alkyl and substituted alkyl apply as well to the alkyl portion of alkoxy groups.

The term "halogen" or "halo" refers to fluorine, chlorine, bromine and iodine.

The term "aryl" refers to monocyclic or bicyclic aromatic hydrocarbon groups having from 6 to 12 carbon atoms in the ring portion, for example, phenyl, naphthyl, biphenyl and diphenyl groups, each of which may be substituted.

The term "aralkyl" refers to an aryl group bonded to a larger entity through an alkyl group, such as benzyl.

The term "substituted aryl" refers to an aryl group substituted by, for example, one to four substituents such as alkyl; substituted alkyl, halo, trifluoromethyl, trifluoromethoxy, hydroxy, alkoxy, cycloalkyloxy, heterocycloxy, alkanoyl, alkanoyloxy, amino, alkylamino, dialkylamino, aralkylamino, cycloalkylamino, heterocycloamino, alkanoylamino, thiol, alkylthio, cycloalkylthio, heterocyclothio, ureido, nitro, cyano, carboxy, carboxyalkyl, carbamyl, alkoxy carbonyl, alkylthiono, arylthiono, alkylsulfonyl, sulfonamido, aryloxy and the like. The substituent may be

further substituted by one or more members selected from the group consisting of halo, hydroxy, alkyl, alkoxy, aryl, substituted alkyl, substituted aryl and aralkyl.

The term "cycloalkyl" refers to optionally substituted saturated cyclic hydrocarbon ring systems, preferably containing 1 to 3 rings and 3 to 7 carbons per ring, which may be further fused with an unsaturated C<sub>3</sub>-C<sub>7</sub> carbocyclic ring. Exemplary groups include cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, cyclooctyl, cyclodecyl, cyclododecyl, and adamantyl. Exemplary substituents include one or more alkyl groups as described above, or one or more of the groups described above as substituents for alkyl groups.

The terms "heterocycle", "heterocyclic" and "heterocyclo" refer to an optionally substituted, unsaturated, partially saturated, or fully saturated, aromatic or nonaromatic cyclic group, for example, which is a 4 to 7 membered monocyclic, 7 to 11 membered bicyclic, or 10 to 15 membered tricyclic ring system, which has at least one heteroatom in at least one carbon atom-containing ring. Each ring of the heterocyclic group containing a heteroatom may have 1, 2 or 3 heteroatoms selected from nitrogen atoms, oxygen atoms and sulfur atoms, where the nitrogen and sulfur heteroatoms may also optionally be oxidized and the nitrogen heteroatoms may also optionally be quaternized. The heterocyclic group may be attached at any heteroatom or carbon atom.

Exemplary monocyclic heterocyclic groups include pyrrolidinyl, pyrrolyl, indolyl, pyrazolyl, oxetanyl, pyrazoliny, imidazolyl, imidazolinyl, imidazolidinyl, oxazolyl, oxazolidinyl, isoxazoliny, isoxazolyl, thiazolyl, thiadiazolyl, thiazolidinyl, isothiazolyl, isothiazolidinyl, furyl, tetrahydrofuryl, thienyl, oxadiazolyl, piperidinyl, piperazinyl, 2-oxopiperazinyl, 2-oxopiperidinyl, 2-oxopyrrolidinyl, 2-oxazepinyl, azepinyl, 4-piperidonyl, pyridyl, N-oxo-pyridyl, pyrazinyl, pyrimidinyl, pyridazinyl, tetrahydropyranyl, tetrahydrothiopyranyl, tetrahydrothiopyranyl sulfone, morpholinyl, thiomorpholinyl, thiomorpholinyl sulfoxide, thiomorpholinyl sulfone, 1,3-dioxolane and tetrahydro-1, 1-dioxothienyl, dioxanyl, isothiazolidinyl, thietanyl, thiiranyl, triazinyl, and triazolyl, and the like.

Exemplary bicyclic heterocyclic groups include benzothiazolyl, benzoxazolyl, benzothienyl, quinuclidinyl, quinolinyl, quinolinyl-N-oxide, tetrahydroisoquinolinyl,

isoquinoliny1, benzimidazolyl, benzopyranyl, indolizinyl, benzofuryl, chromonyl, coumarinyl, cinnolinyl, quinoxaliny1, indazolyl, pyrrolopyridyl, furopyridinyl (such as furo[2,3-c]pyridinyl, furo[3,1-b]pyridinyl] or furo[2,3-b]pyridinyl), dihydroisoindolyl, dihydroquinazoliny1 (such as 3,4-dihydro-4-oxo-quinazoliny1), benzisothiazolyl, benzisoxazolyl, benzodiazinyl, benzofurazanyl, benzothiopyranyl, benzotriazolyl, benzpyrazolyl, dihydrobenzofuryl, dihydrobenzothienyl, dihydrobenzothiopyranyl, dihydrobenzothiopyranyl sulfone, dihydrobenzopyranyl, indolinyl, isochromanyl, isoindolinyl, naphthyridinyl, phthalazinyl, piperonyl, purinyl, pyridopyridyl, quinazoliny1, tetrahydroquinoliny1, thienofuryl, thienopyridyl, thienothienyl, and the like.

Exemplary substituents for the terms "heterocycle," "heterocyclic," and "heterocyclo" include one or more substituent groups as described above for substituted alkyl or substituted aryl, and smaller heterocyclos, such as, epoxides, aziridines and the like.

The term "alkanoyl" refers to -C(O)-alkyl.

The term "substituted alkanoyl" refers to -C(O)-substituted alkyl.

The term "heteroatoms" shall include oxygen, sulfur and nitrogen.

The compounds represented by formulas I, II, III, IV above may exist as multiple optical, geometric, and stereoisomers. While the compounds shown herein are depicted for one optical orientation, included within the present invention are all isomers and mixtures thereof.

### Use and Utility

The compounds represented by formulas III and IV above are microtubule-stabilizing agents. The compounds, and thus the process, are useful in the treatment of a variety of cancers and other proliferative diseases including, but not limited to, the following:

- carcinoma, including that of the bladder, breast, colon, kidney, liver, lung, ovary, pancreas, stomach, cervix, thyroid and skin, including squamous cell carcinoma;

- hematopoietic tumors of lymphoid lineage, including leukemia, acute lymphocytic leukemia, acute lymphoblastic leukemia, B-cell lymphoma, T-cell lymphoma, Hodgkins lymphoma, non-Hodgkins lymphoma, hairy cell lymphoma and Burkitts lymphoma;
- hematopoietic tumors of myeloid lineage, including acute and chronic myelogenous leukemias and promyelocytic leukemia;
- tumors of mesenchymal origin, including fibrosarcoma and rhabdomyoscarcoma;
- other tumors, including melanoma, seminoma, teratocarcinoma, neuroblastoma and glioma;
- tumors of the central and peripheral nervous system, including astrocytoma, neuroblastoma, glioma, and schwannomas;
- tumors of mesenchymal origin, including fibrosarcoma, rhabdomyoscaroma, and osteosarcoma; and
- other tumors, including melanoma, xeroderma pigmentosum, keratoacanthoma, seminoma, thyroid follicular cancer and teratocarcinoma.

The compounds represented by formulas III and IV above will also inhibit angiogenesis, thereby affecting the growth of tumors and providing treatment of tumors and tumor-related disorders. Such anti-angiogenesis properties of the compounds represented by formulas III and IV will also be useful in the treatment of other conditions responsive to anti-angiogenesis agents including, but not limited to, certain forms of blindness related to retinal vascularization, arthritis, especially inflammatory arthritis, multiple sclerosis, restinosis and psoriasis.

Compounds represented by formulas III and IV will induce or inhibit apoptosis, a physiological cell death process critical for normal development and homeostasis. Alterations of apoptotic pathways contribute to the pathogenesis of a variety of human diseases. Compounds represented by formulas III and IV, as modulators of apoptosis, will be useful in the treatment of a variety of human diseases with aberrations in apoptosis including, but not limited to cancer, particularly but not limited to, follicular lymphomas, carcinomas with p53 mutations, hormone dependent tumors of the breast, prostate and ovary, and precancerous lesions such as familial

adenomatous polyposis, viral infections including but not limited to herpesvirus, poxvirus, Epstein-Barr virus, Sindbis virus and adenovirus, autoimmune diseases such as systemic lupus erythematosus, immune mediated glomerulonephritis, rheumatoid arthritis, psoriasis, inflammatory bowel diseases and autoimmune diabetes mellitus; neurodegenerative disorders such as Alzheimer's disease, AIDS-related dementia, Parkinson's disease, amyotrophic lateral sclerosis, retinitis pigmentosa, spinal muscular atrophy and cerebellar degeneration; AIDS; myelodysplastic syndromes; aplastic anemia; ischemic injury associated myocardial infarctions; stroke and reperfusion injury; restenosis; arrhythmia; atherosclerosis; toxin-induced or alcohol induced liver diseases; hematological diseases such as chronic anemia and aplastic anemia; degenerative diseases of the musculoskeletal system such as osteoporosis and arthritis; aspirin-sensitive rhinosinusitis; cystic fibrosis; multiple sclerosis; kidney diseases; and cancer pain.

The compounds represented by formulas III and IV are also useful in combination with known anti-cancer and cytotoxic agents and treatments, including radiation. If formulated as a fixed dose, such combination products employ the compounds represented by formulas III and IV within the dosage range described below and the other pharmaceutically active agent within its approved dosage range. Compounds represented by formulas III and IV can be used sequentially with known anticancer or cytotoxic agents and treatment, including radiation when a combination formulation is inappropriate. Especially useful are cytotoxic drug combinations wherein the second drug chosen acts in a different phase of the cell cycle, e.g. S phase, than the present compounds represented by formulas III and IV which exert their effects at the G<sub>2</sub>-M phase.

The compounds prepared in accordance with the present invention can be formulated with a pharmaceutical vehicle or diluent for oral, intravenous or subcutaneous administration. Such pharmaceutical compositions can be formulated in a classical manner well known to those of ordinary skill in the art using solid or liquid vehicles, diluents and additives appropriate to the desired mode of administration. Orally, the compounds can be administered in the form of tablets, capsules, granules, powders and the like. The compounds are administered in a

dosage range of about 0.05 to 200 mg/kg/day, preferably less than 100 mg/kg/day, in a single dose or in 2 to 4 divided doses.

### Methods of Preparation

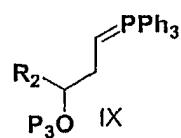
The intermediate compounds represented by formulas I and II are prepared from epothilone compounds represented by formula V in Scheme 1, particularly epothilone C or D wherein R<sub>1</sub> is as defined above. The epothilone starting materials will fall under the general formulas III and IV as shown above. The advantage of the subject process is that it can be utilized to transform epothilone compounds that may have less than optimum properties into other analogs that have more desirable properties. The epothilone starting materials represented by formula V and formula XV are known compounds. *See, for example, Kim et al., Org. Lett., 2, 1537 (2000); Hofle et al., Angew. Chem. Int. Ed. Engl., 35, 1567-1569 (1996); WO 93/10121 published May 27, 1993; and WO 97/19086 published May 29, 1997; Nicolaou et al., Angew. Chem. Int. Ed. Engl., 36, 2097-2103 (1997); and Su et al., Angew. Chem. Int. Ed. Engl., 36, 2093-2097 (1997).*

As illustrated in Scheme 1, the epothilone starting material V is treated with a suitable enzyme that causes the molecule to degrade to yield a compound represented by formula VI as illustrated in Scheme 1. Suitable enzymes include, without intended limitation, pig liver esterase, chymotrypsin, or pancreatin. The carboxyl moiety of the compound represented by formula VI is then esterified to form an ester represented by formula VII by treatment with an alkylating agent such as diazomethane, trimethylsilyl diazomethane, or an alkyl halide. In the reaction illustrated in Scheme 1, trimethylsilyldiazomethane is utilized as the alkylating agent to form the methyl ester of the carboxyl moiety.

The ester compounds represented by formula VII are then treated to form protecting groups, such as silanes, on the hydroxyl groups. This is carried out by reaction with suitable agents such as trialkylsilyl halides, triflates, i.e. trifluoromethane sulfonates, to form a compound represented by formula VIII wherein P<sub>1</sub>, P<sub>2</sub> and/or P<sub>3</sub> are as defined above. A preferred reagent for forming the protecting groups on the hydroxyls is t-butyldimethylsilyl trifluoromethanesulfonate.

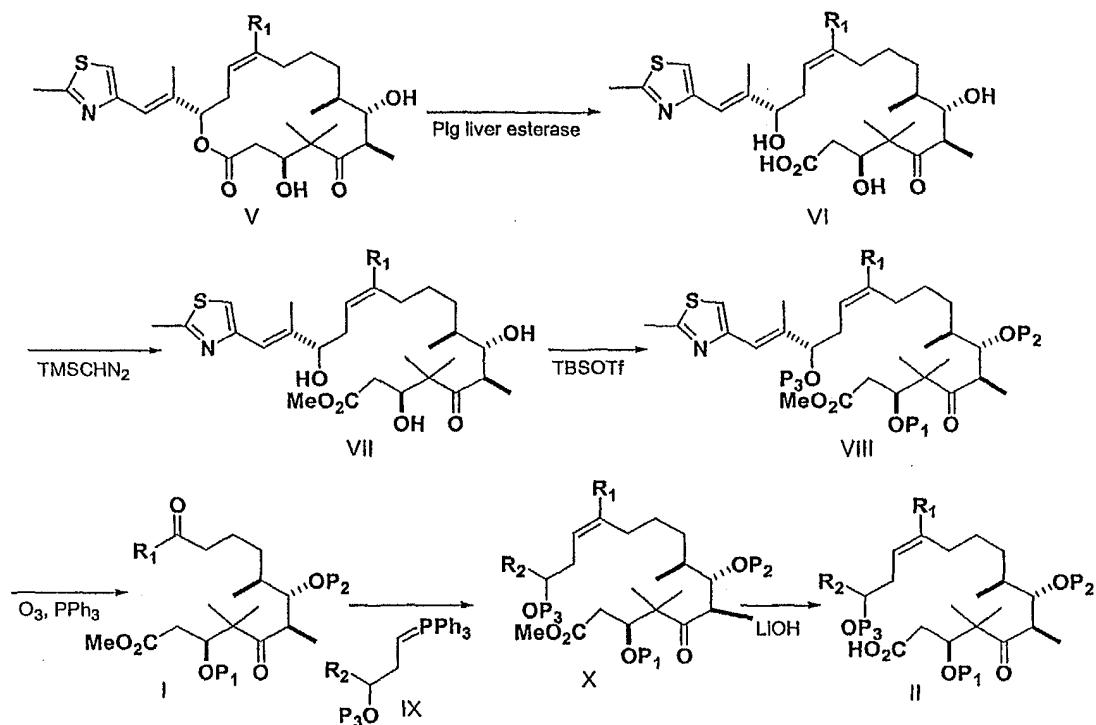
The compounds represented by formula VIII are then oxidized, e.g. by ozone, to cleave the olefin at position 12, thereby forming the subject intermediate compounds represented by formula I.

The intermediate compounds of the present invention represented by formula I are suitably converted to the subject intermediate compounds represented by formula II in two steps as shown in Scheme 1. In the first step, the compound represented by formula I is reacted with a suitable Wittig type reagent represented by the following formula



wherein  $\text{R}_2$  and  $\text{P}_3$  are as defined above, illustrated by formula IX in Scheme 1. The reagents represented by formula IX can be prepared, for example, as described by Nicolaou *et al.*, Angew. Chem., Vol. 110, No. 85 (1998). The reaction of the compound represented by formula IX and the compound represented by formula I in Scheme 1 is an ester represented by formula X in Scheme 1. The ester moiety at position 1 of the compounds represented by formula X is then hydrolyzed by methods well known in the art, e.g. treatment with a suitable base, such as aqueous hydroxides or carbonates, to yield the carboxylic acids represented by formula II.

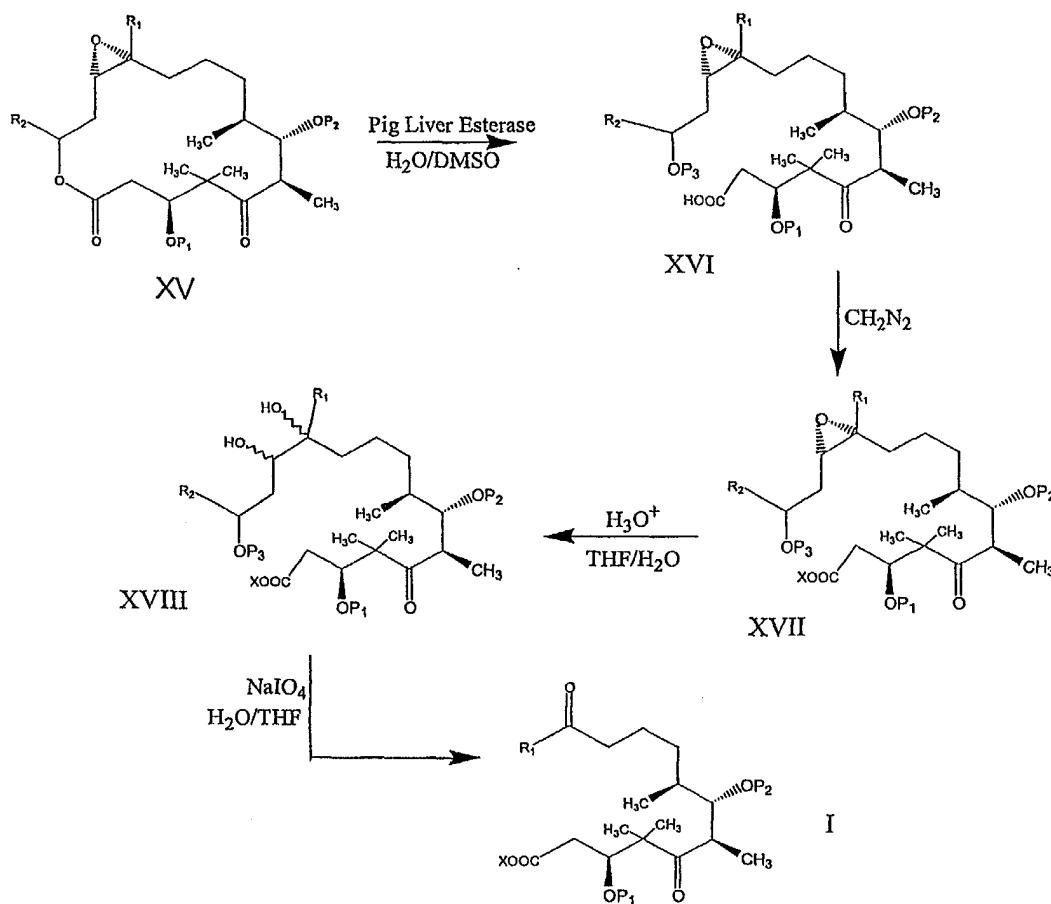
Scheme 1



Compounds of formula II and methods for synthesizing epothilone analogs from such compounds are known. *See, Nicolaou *et al.*, J. Amer. Chem. Soc., 119, 7974 (1997).* The protected hydroxyl groups of compounds of formula II may be deprotected according to several known procedures. *See, Greene and Wuts, "Protective Groups In Organic Synthesis," 2<sup>nd</sup> Ed., John Wiley & Sons, Inc., New York, 1991.*

Intermediate compound represented by formula I can also be prepared according to the procedures depicted in Scheme 2.

Scheme 2



As illustrated in Scheme 2, the epothilone starting material XV is treated with a suitable enzyme that cleaves the compound of formula XV to form a compound of formula XVI bearing a carboxyl group. Suitable enzymes include, but are not limited to, pig liver esterase, chymotrypsin or pancreatin. The carboxyl group of compound XVI is then esterified with an alkylating agent to form the ester compound XVII. Examples of alkylating agents include, but are not limited to, diazomethane, trimethylsilyl diazomethane or an alkyl halide. As an example, in the reaction depicted in Scheme 2, diazomethane is used as the alkylating agent. The ester compound XVII is next hydrolyzed to form a diol compound of formula XVIII. This hydrolysis step is performed under acidic conditions. Finally, compound XVIII is oxidized to form the intermediate of formula I. An example of an oxidizing agent is

sodium periodate. Other examples include, but are not limited to,  $\text{Ca}(\text{OCl})_2$ ,  $\text{NaBiO}_3$ ,  $\text{I}(\text{OAc})_3$ ,  $\text{HIO}_4$ , Amberlite and 904- $\text{NaIO}_4$  (*J. Chem. Soc. Perkin I*, 509 (1982)), ,  $\text{Pb}(\text{OAc})_2$ ,  $\text{HgO}$  and  $\text{I}_2$ ,  $\text{MnO}_2$ ,  $\text{KmnO}_4$ ,  $\text{H}_2\text{CrO}_4$ , PCC (*Syn. Commun.*, 12, 833 (1982)),  $\text{RuCl}_2(\text{PPh}_3)_3$  and  $\text{BaMnO}_4$ .

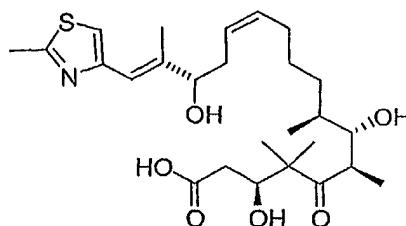
The compounds represented by formulas I and II are useful as intermediates in the preparation of epothilone analogs characterized by enhanced activity.

All references cited herein are incorporated by reference as if set forth at length herein.

The following non-limiting examples serve to illustrate the practice of the invention.

### Example 1

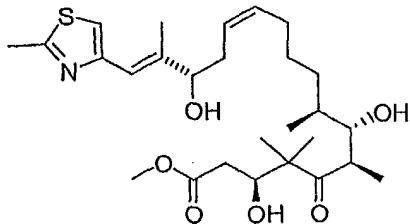
Preparation of a compound represented by the formula



A solution of epothilone C, representative of formula V in Scheme 1 (8.4 mg, 0.017 mmol) in 125  $\mu\text{L}$  dimethylsulfoxide was diluted with 5.0 mL of pH 7 phosphate buffer. Pig liver esterase (200 units in 50  $\mu\text{L}$  of 3.2 M aqueous  $(\text{NH}_4)_2\text{SO}_4$ ) was added, and the suspension was stirred at 37°C for 18 hours. TLC showed that epothilone C was completely consumed. The reaction was stored at -34°C for 12 days. The mixture was acidified to pH about 4.5 with 1 N HCl and then extracted with two 5 mL portions of dichloromethane. The organic phase was dried over  $\text{Na}_2\text{SO}_4$ , concentrated under vacuum, and purified by flash chromatography on silica gel eluting with 1% acetic acid in ethyl acetate to provide 2.1 mg (25%) of the compound of the formula given above, representative of formula VI in Scheme 1, as a clear film. MS (ESI $^+$ ): 496 ( $\text{M}+\text{H})^+$ ; MS (ESI $^-$ ): 494 ( $\text{M}-\text{H})^-$

**Example 2**

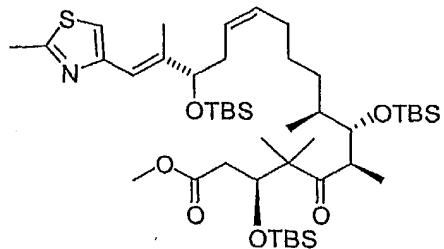
Preparation of a compound represented by the formula



A solution of the compound formed in Example 1 (1 mg, 0.0020 mmol) in 0.5 mL of a mixture of 2:7 methanol:toluene was treated with two drops of trimethylsilyl diazomethane at 25°C. After 10 minutes, TLC showed that the starting material had been converted to a new UV active component. The reaction was concentrated under vacuum and purified by flash chromatography on silica gel eluting with a gradient of 60-100% ethyl acetate in hexane to provide 1 mg (100%) of the compound given above formula given above, representative of formula VII in Scheme 1, as a clear film. MS (ESI<sup>+</sup>): 510 (M+H)<sup>+</sup>; MS (ESI<sup>-</sup>): 508 (M-H)<sup>-</sup>

**Example 3**

Preparation of a compound represented by the formula



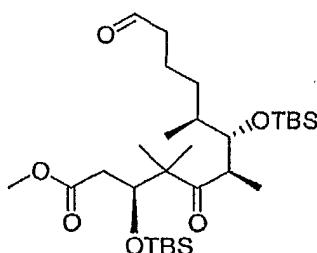
To a solution of the compound formed in Example 2 (20.4 mg, 0.04 mmol) in 2.0 mL anhydrous dichloromethane at -14°C was added 2,6-lutidine (23 µL, 0.2 mmol, 5 eq). t-Butyldimethylsilyl triflate (32 µL, 0.14 mmol, 3.5 eq) was added dropwise to the reaction. After 30 minutes, additional 2,6-lutidine (33 µL, 0.28 mmol, 7 eq) and t-butyldimethylsilyl triflate (65 µL, 0.28 mmol, 75 eq) were added.

After 12 hours, TLC indicated that the starting material had been consumed.

Saturated aqueous NaHCO<sub>3</sub> (5 mL) was added and the reaction was extracted with two 5 mL portions of dichloromethane. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, concentrated under vacuum, and purified by flash chromatography on silica gel eluting with 10% ethyl acetate in petroleum ether to provide 15 mg (44%) of the compound given above, representative of compound VIII in Scheme 1, as a clear film. MS (ESI<sup>+</sup>): 838 (M+H-CH<sub>3</sub>)<sup>+</sup>

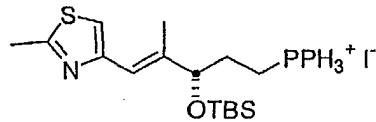
#### Example 4

Preparation of a compound represented by the formula



A solution of the compound formed in Example 3 (6.4 mg, 0.0075 mmol) in 2.0 mL anhydrous dichloromethane was cooled to -78°C. Ozone was passed through the solution for approximately 2 minutes, during which time the solution became light blue. Triphenylphosphine (8 mg, 0.03 mmol, 4 eq) was added and the reaction mixture was warmed to room temperature over 30 minutes. The reaction mixture was concentrated under vacuum and purified by flash chromatography on silica gel eluting with 10% ethyl acetate in petroleum ether to provide 3.6 mg (86%) of the compound given above, representative of compound I of the present invention, as a clear film. MS (ESI<sup>+</sup>): 559 (M+H)<sup>+</sup>

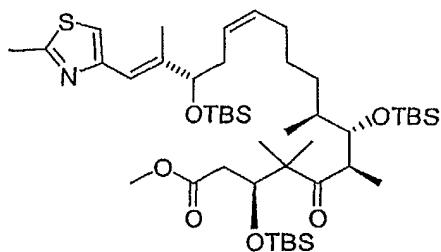
Preparation of a compound represented by the formula



As a separate step, the compound given above, representative of compound IX in Scheme 1, was prepared as described by Nicolaou *et al.*, Angew. Chem., 1998, 110, 85. MS (ESI<sup>+</sup>): 572 (M+H)<sup>+</sup>

### Example 5

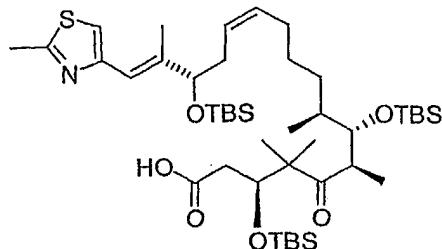
Preparation of a compound represented by the formula



A solution of the compound formed in Example 4 according to the method taught by Nicolaou *et al.* (18 mg, 0.013 mmol, 2 eq) in 0.5 mL anhydrous tetrahydrofuran was cooled to 0°C. Sodium bis(trimethylsilyl)amide (31  $\mu$ L, 31  $\mu$ mol, 2.4 eq) was added and the solution became brown. The reaction was cooled to -20°C and the compound formed in Example 4 representative of formula I of the present invention (7.3 mg, 0.013 mmol, 1 eq) in 0.5 mL tetrahydrofuran was added. After 10 minutes, the reaction was quenched with 4 mL of saturated aqueous NaHCO<sub>3</sub> and extracted with two 2 mL portions of dichloromethane. The organic phase was dried over Na<sub>2</sub>SO<sub>4</sub>, concentrated under vacuum, and purified by flash chromatography on silica gel eluting with 10% ethyl acetate in petroleum ether to provide 6 mg (55%) of the compound given above, representative of compound X in Scheme 1, as a clear oil. MS (ESI<sup>+</sup>): 852 (M+H)<sup>+</sup>; 874 (M+Na)<sup>+</sup>

**Example 6**

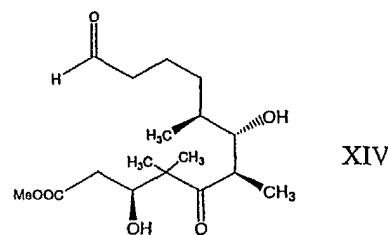
Preparation of a compound represented by the formula



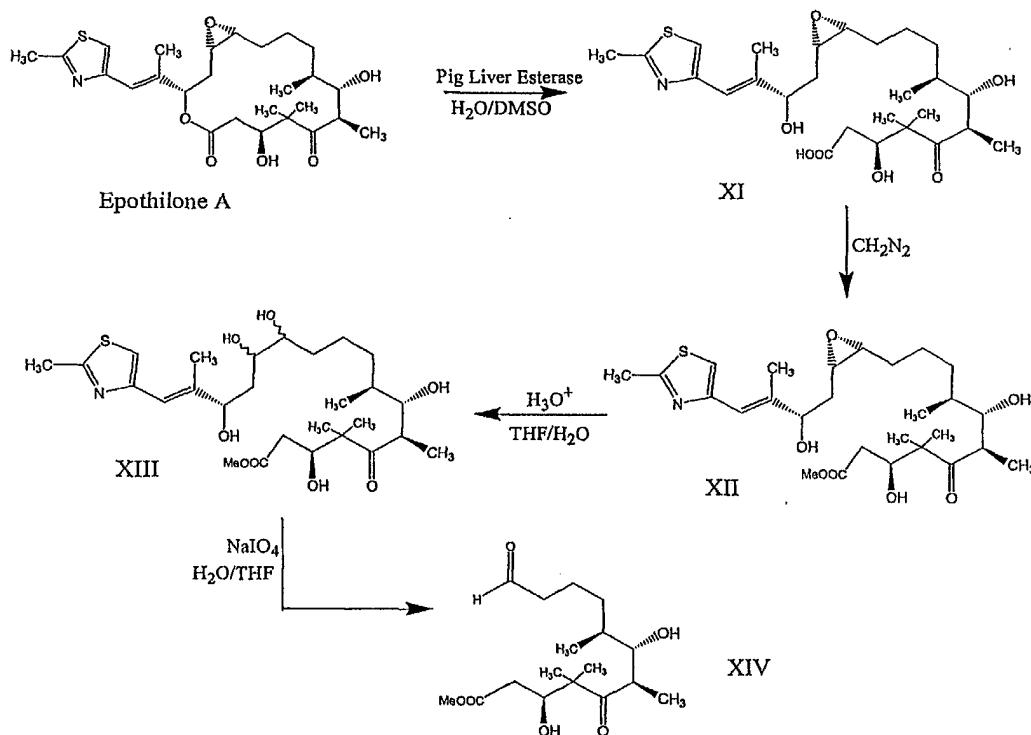
A solution of the ester compound prepared in Example 5 (2.2 mg, 0.0026 mmol) in 0.5 mL t-butyl alcohol/water (2:1) was treated with aqueous 1.0 M LiOH (40  $\mu$ L, 0.039 mmol, 15 eq). The reaction was stirred for 48 hours at room temperature. TLC indicated that the reaction was approximately 50% complete. The reaction was purified by flash chromatography on silica gel eluting with 10% ethyl acetate in hexane with 1% acetic acid to provide 1 mg (46%) of the compound given above, representative of compound II of the present invention.

**Example 7**

Preparation of the compound represented by the formula XIV (Scheme 3):



Scheme 3



(i) Preparation of compound of formula XI: Epothilone A (0.5 g, 1.01 mmol) was dissolved in 0.2 mL of DMSO and 300 mL of phosphate buffer (20 mmol, pH 7.4). Pig liver esterase (50 mg) was added to the epothilone A solution, with stirring. After stirring for 3 days, residual lactone was extracted with 50 mL of a 1:1 mixture of hexanes and ethyl acetate. The aqueous phase was adjusted to pH 5 and extracted three times with ethyl acetate. The organic layer was dried with  $\text{MgSO}_4$ , filtered and evaporated. Yield: 0.55 g of compound XI was obtained as a viscous oil containing 10% of solvents.

(ii) Preparation of compound of formula XII: Compound XI (60 mg) obtained above was dissolved in ethyl acetate. To this, excess diazomethane in diethyl ether was added. The conversion was complete in 15 minutes. The solvents were evaporated *in vacuo* to yield 50 mg of ester compound XII as a colorless viscous oil.

(iii) Preparation of compound of formula XIII: Compound XII (23 mg) was dissolved in THF (0.5 mL). To this solution, was added concentrated sulfuric acid (50 mg) dissolved in 1 mL of H<sub>2</sub>O, with stirring. After one hour, the pH was adjusted to 7 with sodium bicarbonate, and the mixture extracted three times with ethyl acetate. The organic extract was evaporated to provide 21 mg of crude diol compound XIII as a mixture of stereoisomers.

<sup>1</sup>H-NMR (CD<sub>3</sub>OD): 7.20, 7.21 (s, 19-H), 6.68, 6.63 (s, 17-H), 4.33 (dd, 3-H), 4.40, 3.76, 3.53, 3.47, 3.36 (m, 7-H, 12-H, 13-H), 3.72 (s, OMe), 2.72 (s, 21-H<sub>3</sub>), 2.48, 2.37 (ddd, 2-H<sub>2</sub>), 2.00, 2.02 (s, 16-Me), 1.8 - 1.3 (m, 8-H, 9-H<sub>2</sub>, 10-H<sub>2</sub>, 11-H<sub>2</sub>), 1.21, 1.18 (s, 4-(CH<sub>3</sub>)<sub>2</sub>), 1.12 (d, 6-Me), 0.96 (d, 8-Me).

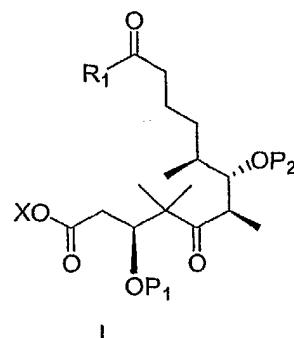
(iv) Preparation of compound of formula XIV: Diol XIII (23 mg) was dissolved in 0.6 mL of THF. To this solution was added sodium periodate (7 mg) in 1.2 mL of H<sub>2</sub>O, with stirring. After 30 minutes, the solvents were evaporated *in vacuo* and the residue purified by preparative HPLC (Nucleosil RP18, methanol/water gradient 35:65 to 60:40). The fraction containing compound XIV was concentrated *in vacuo* and extracted with n-butanol. Evaporation of the organic layer provided 12 mg of the aldehyde compound XIV.

<sup>1</sup>H-NMR (CDCl<sub>3</sub>): 9.76 (t, 12-H), 4.25 (dd, 3-H), 3.73 (s, OCH<sub>3</sub>), 3.38 (dd, 7-H), 3.25 (dq, 6-H), 2.3 2.5 (m, 2-H<sub>2</sub>, 11-H<sub>2</sub>), 1.75 (m, 8-H), 1.55 (m, 9-H<sub>2</sub>, 10-H<sub>2</sub>, 11-H<sub>2</sub>), 1.19, 1.13 (4-(CH<sub>3</sub>)<sub>2</sub>), 1.06 (d, 6-CH<sub>3</sub>), 0.87 (d, 8-CH<sub>3</sub>).

ESI-MS (pos. ions): <sup>m</sup>/<sub>z</sub> = 357 (M + H<sup>+</sup> + MeOH).

**What is claimed is:**

1. A process for preparing a compound represented by formula I:



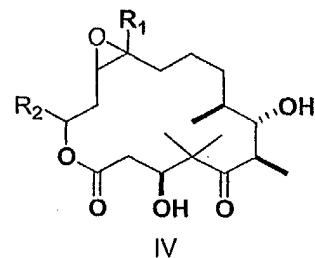
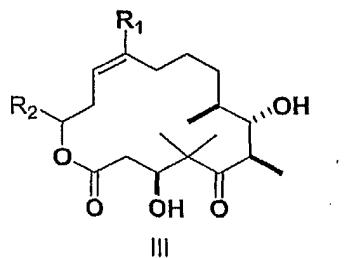
wherein:

X is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl and substituted aryl;

R<sub>1</sub> is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, and heterocyclo; and

P<sub>1</sub> and P<sub>2</sub> are independently selected from the group consisting of hydrogen, aralkyl, substituted aralkyl, trialkylsilyl, triarylsilyl, dialkylarylsilyl, diarylalkylsilylalkoxyalkyl, and aralkyloxyalkyl;

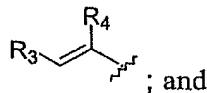
comprising treating an epothilone compound of formula III or formula IV:



wherein:

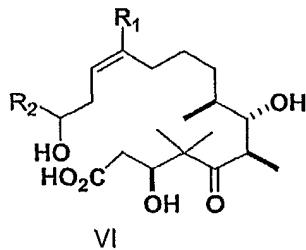
X, R<sub>1</sub>, P<sub>1</sub> and P<sub>2</sub> are as defined above;

R<sub>2</sub> is hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, heterocyclo or



$R_3$  and  $R_4$  are selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and heterocyclo;

with an enzyme to form a compound represented by formula VI:



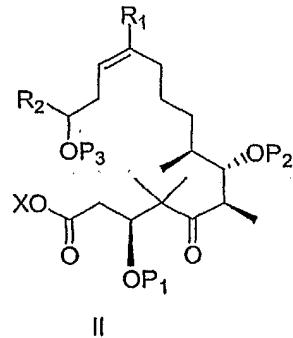
wherein  $R_1$  and  $R_2$  are as defined above;

optionally esterifying the carboxyl group of said compound;

optionally reacting the resulting esterified compound to form protecting groups on the hydroxyl groups of said compound; and

reacting the resulting compound with a suitable oxidizing agent to form said compound of formula I.

2. A process for preparing a compound represented by formula II:

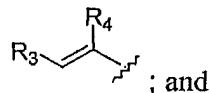


wherein:

X is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl and substituted aryl;

R<sub>1</sub> is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, and heterocyclo;

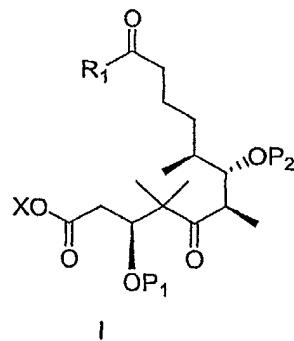
R<sub>2</sub> is hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, heterocyclo or



R<sub>3</sub> and R<sub>4</sub> are selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and heterocyclo; and

P<sub>1</sub>, P<sub>2</sub>, and P<sub>3</sub> are independently selected from the group consisting of hydrogen, aralkyl, substituted aralkyl, trialkylsilyl, triarylsilyl, dialkylarylsilyl, diarylalkylsilylalkoxyalkyl, and aralkyloxyalkyl;

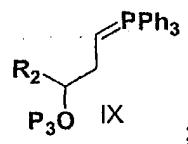
comprising reacting a compound represented by formula I:



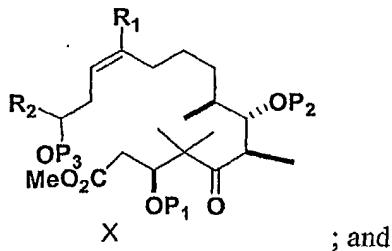
I

wherein X, R<sub>1</sub>, P<sub>1</sub> and P<sub>2</sub> are as defined above;

with a compound represented by formula IX:

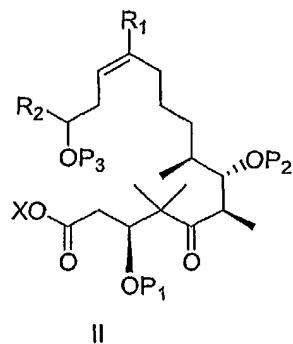


wherein R<sub>2</sub> and P<sub>3</sub> are as defined above, to form a compound represented by formula X:



hydrolyzing the ester group on said compound in the presence of a suitable base to form said compound represented by formula II.

3. A process for preparing a compound represented by formula II:

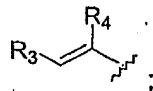


wherein:

X is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl and substituted aryl;

R<sub>1</sub> is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, and heterocyclo;

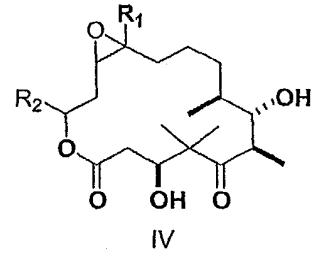
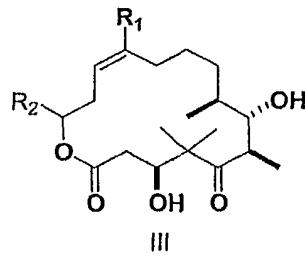
R<sub>2</sub> is hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, heterocyclo or



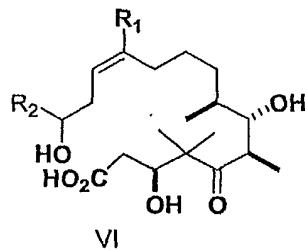
R<sub>3</sub> and R<sub>4</sub> are selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and heterocyclo; and

P<sub>1</sub>, P<sub>2</sub>, and P<sub>3</sub> are independently selected from the group consisting of hydrogen, aralkyl, substituted aralkyl, trialkylsilyl, triarylsilyl, dialkylarylsilyl, diarylalkylsilylalkoxyalkyl, and aralkyloxyalkyl;

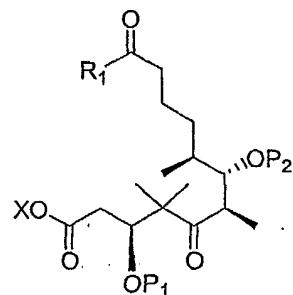
comprising treating an epothilone compound of formula III or formula IV:



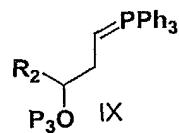
with an enzyme to form a compound represented by formula VI:



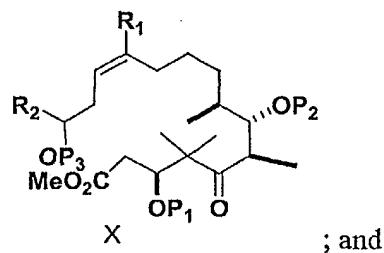
esterifying the carboxyl group of said compound;  
 reacting the resulting esterified compound to form protecting groups on the hydroxyl groups of said compound;  
 reacting the resulting compound with a suitable oxidizing agent to form a compound represented by formula I:



reacting said compound with a compound represented by formula IX:

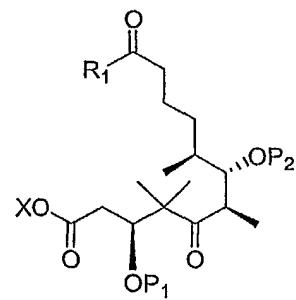


to form a compound represented by formula X:



hydrolyzing the ester group on said compound in the presence of a suitable base to form said compound represented by formula II.

4. A process for preparing a compound represented by formula I:



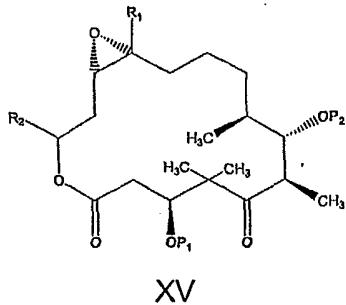
wherein:

X is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl and substituted aryl;

R<sub>1</sub> is selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, and heterocyclo; and

each P<sub>1</sub> and P<sub>2</sub> is, independently, selected from the group consisting of hydrogen, aralkyl, substituted aralkyl, trialkylsilyl, triarylsilyl, dialkylarylsilyl, diarylalkylsilylalkoxyalkyl, and aralkyloxyalkyl;

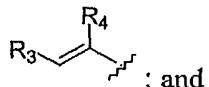
comprising treating an epothilone compound represented by formula XV:



wherein:

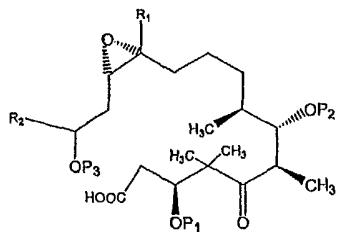
R<sub>1</sub>, P<sub>1</sub> and P<sub>2</sub> are as defined above;

R<sub>2</sub> is hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, heterocyclo or



R<sub>3</sub> and R<sub>4</sub> are selected from the group consisting of hydrogen, alkyl, substituted alkyl, aryl, substituted aryl, cycloalkyl and heterocyclo;

with an enzyme to form a compound represented by formula XVI:



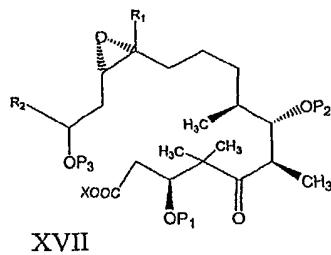
XVI

wherein:

R<sub>1</sub>, R<sub>2</sub>, P<sub>1</sub> and P<sub>2</sub> are as defined above; and

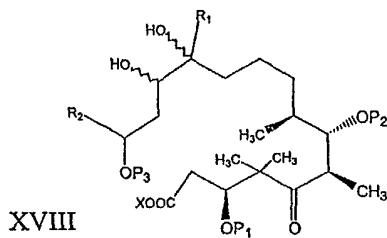
P<sub>3</sub> is selected from the group consisting of hydrogen, aralkyl, substituted aralkyl, trialkylsilyl, triarylsilyl, dialkylarylsilyl, diarylalkylsilylalkoxyalkyl, and aralkyloxyalkyl;

esterifying the carboxyl group of compound of formula XVI to form an ester compound represented by formula XVII:



wherein X, R<sub>1</sub>, R<sub>2</sub>, P<sub>1</sub>, P<sub>2</sub> and P<sub>3</sub> are as defined above;

hydrolyzing the ester compound to form a diol compound of formula XVIII:



wherein X, R<sub>1</sub>, R<sub>2</sub>, P<sub>1</sub>, P<sub>2</sub> and P<sub>3</sub> are as defined above; and

reacting the diol compound with a suitable oxidizing agent to form said compound of formula I.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
4 October 2001 (04.10.2001)

PCT

(10) International Publication Number  
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(51) International Patent Classification<sup>7</sup>: **C12P 17/14.** (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(21) International Application Number: PCT/US01/09620

(22) International Filing Date: 23 March 2001 (23.03.2001)

(25) Filing Language: English (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(26) Publication Language: English

(30) Priority Data:  
60/191,975 24 March 2000 (24.03.2000) US

(71) Applicant (*for all designated States except US*): **BRISTOL-MYERS SQUIBB COMPANY [US/US]**; P.O. Box 4000, Princeton, NJ 08543-4000 (US).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **VITE, Gregory, D.** [US/US]; 28 Continental Lane, Titusville, NJ 08560 (US). **KIM, Soong-Hoon** [KR/KR]; 3 Lupine Lane, Titusville, NJ 08560 (US). **HÖEFLE, Gerhard** [DE/DE]; Alter Weg 12a, 38124 Braunschweig (DE).

(74) Agents: **PATEL, Rena** et al.; Bristol-Myers Squibb Company, P.O. Box 4000, Princeton, NJ 08543-4000 (US).

Published:

— with international search report

(88) Date of publication of the international search report:  
23 May 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 01/73103 A3

(54) Title: PREPARATION OF EPOTHILONE INTERMEDIATES

(57) Abstract: The present invention relates to a process for the preparation of intermediates useful in the synthesis of epothilone analogs by initially enzymatically degrading certain epothilone compounds to form ring-open structures containing a carboxyl group which is esterified, the hydroxyl groups on the moiety protected and the resulting compound oxidized by, e.g. ozone, to form a first intermediate. The first intermediate can be reacted with a triphenylphosphine adduct to yield a compound containing an ester group at position 1 which is subsequently hydrolyzed to form a second intermediate.

## INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/09620

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C12P17/14 C07D277/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12P C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, EMBASE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category <sup>*</sup>	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 99 54319 A (SQUIBB BRISTOL MYERS CO) 28 October 1999 (1999-10-28) page 17, scheme 2 page 17, line 4-8 page 28, scheme 9 page 28, line 11 -page 29, line 21 page 30, scheme 10 page 31, line 20 -page 32, line 4	1,3,4
Y	WO 97 19086 A (BIOTECHNOLOG FORSCHUNG GMBH ;KIFFE MICHAEL (DE); HOEFLE GERHARD (D) 29 May 1997 (1997-05-29) page 4, line 18-20 page 6, line 22-30 examples 11-14 claims 7,8	1,4

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

17 January 2002

Date of mailing of the international search report

25 01 2002

Name and mailing address of the ISA

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Authorized officer

van de Kamp, M

## INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/09620

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Reference of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 07692 A (KLAR ULRICH ; SCHERING AG (DE); BUCHMANN BERND (DE); SKUBALLA WERNE) 18 February 1999 (1999-02-18) page 3, line 25 -page 5, line 33 page 40, line 1 -page 53, line 17 example 1 claims 9,17,27 ---	2
Y		3
A	WO 99 27890 A (SQUIBB BRISTOL MYERS CO) 10 June 1999 (1999-06-10) the whole document ---	1,3,4
A	NICOLAOU K C ET AL.: "Total syntheses of epothilones A and B via a macrolactonization-based strategy." JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, vol. 119, no. 34, 1997, pages 7974-7991, XP002095719 ISSN: 0002-7863 cited in the application schemes 1,3,5,7,9 ---	1-4
A	NICOLAOU K C ET AL.: "Chemical Biology of Epothilones" ANGEWANDTE CHEMIE. INTERNATIONAL EDITION, VERLAG CHEMIE. WEINHEIM, DE, vol. 37, no. 15, August 1998 (1998-08), pages 2014-2045, XP002131418 ISSN: 0570-0833 the whole document schemes 10-13 ---	1-4

**INTERNATIONAL SEARCH REPORT**International application No.  
PCT/US 01/09620**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this International application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest** The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/USA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claim : 1 (partially)

A process for preparing a compound represented by formula I comprising treating an epothilone compound of formula III with an enzyme to form a compound represented by formula VI, optionally esterifying the carboxyl group of said compound, optionally reacting the resulting esterified compound to form protecting groups on the hydroxyl groups of said compound, and reacting the resulting compound with a suitable oxidizing agent to form a compound represented by formula I.

2. Claim : 1 (partially)

A process for preparing a compound represented by formula I comprising treating an epothilone compound of formula IV with an enzyme to form a compound represented by formula VI, optionally esterifying the carboxyl group of said compound, optionally reacting the resulting esterified compound to form protecting groups on the hydroxyl groups of said compound, and reacting the resulting compound with a suitable oxidizing agent to form a compound represented by formula I.

3. Claims: 2, 3 (both completely)

A process for preparing a compound represented by formula II comprising reacting a compound represented by formula I with a compound represented by formula IX to form a compound represented by formula X, and hydrolyzing the ester group on said compound in the presence of a suitable base to form a compound represented by formula II.

A process for preparing a compound represented by formula II comprising treating an epothilone compound of formula III or IV with an enzyme to form a compound represented by formula VI, esterifying the carboxyl group of said compound, reacting the resulting esterified compound to form protecting groups on the hydroxyl groups of said compound, reacting the resulting compound with a suitable oxidizing agent to form a compound represented by formula I, reacting said compound with a compound represented by formula IX to form a compound represented by formula X, and hydrolyzing the ester group on said compound in the presence of a suitable base to form a compound represented by formula II.

4. Claim : 4 (completely)

A process for preparing a compound represented by formula I

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

comprising treating an epothilone compound represented by formula XV with an enzyme to form a compound represented by formula XVI, esterifying the carboxyl group of said compound to form an ester compound represented by formula XVII, hydrolyzing the ester compound to form a diol compound represented by XVIII, reacting the diol compound with a suitable oxidizing agent to form a compound represented by formula I.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

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PCT/US 01/09620

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